INFORMATION DISCLOSURE STATEMENT BY APPLICANT					ATTY. DOCKET NO. 043920-0032	SERIAL NO. 09/522,709				
					APPLICANT Fernando L. ALVARADO, et al.					
	(Su	bstitute	for form 1449/PTO)		FILING DATE GROUP March 10, 2000 3693					
			U	.S. PATEN	T DOCUMENTS					
EXAMINER'S	CITE		Document Number	Publication Date	e Name of Patentee or Applicant of Cit		ed Pages, Columns, Lines, Where			
INITIALS	NO.		ber-Kind Code2 (4' known)	MM-DD-YYYY	Document	Sant or Olleg	Relevant Passages or Relevant Figures Appear			
		US	5,758,331	05-26-1998	JOHNSON					
		US	5,819,237	10-06-1998	GARMAN					
		US	6,105,000	08-15-2000	HICKMAN et a	al.				
		US	6,169,979 B1	01-02-2001	JOHNSON					
	П	US	6,456,982 B1	09-24-2002	PILIPOVIC				-	
		US	2002/0165816 A1	11-07-2002	BARZ					
		US	6,598,029 B1	07-22-2003	JOHNSON et	al.				
		US	6,714,000 B2	03-30-2004	STAATS					
		US	6,778,968 B1	08-17-2004	GULATI					
		US	6,922,677 B1	07-26-2005	SPERANDEC	)				
		US	2006/0026095 A1	02-02-2006	ALVARADO et	al.				
		US	2006/0026095 A1	02-02-2006	ALVARADO et	al.				
		US	2002/0152111 A1	10-17-2002	COX et al.		1			
		US	5,854,903	12-29-1998	MORRISON et	al.	1			
		US	5,905,666	05-18-1999	HOFFMAN et	al.				
		US	2002/0065699 A1	05-30-2002	TALLURI	LURI				
		US	2003/0101123 A1	05-29-2003	ALVARADO et	al.				
		US	2002/0010670 A1	09-24-2002	MOSLER et a	ī.				
		-		FOREIGN PA	TENT DOCUMENTS		•			
EXAMINER'S			reign Patent Document	Publication Date	Name of Patentee or Applicant of Cited Document	Pages, Colun Where Re	mns, Lines Translation		ion	
INITIALS	CITE	Cou	intry Codes-Number 4-Kind Codes (if known)	MM-DD-YYYY	Applicant of Cited Document	Figures A	nevant opear	Yes	No	
	NO.			RT (Including Auth	or, Title, Date, Pertinent Pages, E	tc.)		L		
EXAMINER'S INITIALS	EXAMINER'S Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine,									
			Fernando L. ALVARADO, "The Dynamics of Power System Markets," 12 March 1997 per Report Number PSerc-97-01.							
			EPRI Report (#TR-114276 titled: "Managing Transmission Risk," Final Report dated December 1999) with the instant application's two inventors (R. RAJARAMAN & F. ALVARADO) are listed amongst the team of principal investigators							
		R.	R. RAJARAMAN & F. ALVARADO, "Understanding price volatility in electricity markets," proceedings of the 33rd Hawaii Intl. Conf. on System Sciences; 5 pgs.							
	T	$\top$	GAZALET E.G. & ELLIS J., "Simplified Bidding for WEPEX," 9 September 1996; 31 pgs.							
		Une	LEOTARD, J.P., MIT EL 99-001, "Transmission Pricing and incentives for investments under Uncertainty in the Deregulated Power Industry," dated 2s January 1999, published February 1999; 150 pages							
	ENRON presentation at IEE Conf. titled: "Load Management: A Trading Perspective," 13 pages									
EXAMINER					DATE CONSIDERED					
	/Sara	Chai			11/07/2009					

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

Applicant's unique citation designation number (optional). 2 Applicant is to place a check mark here if English language Translation is attached.

			N DISCLOSU BY APPLICA		ATTY, DOCKET NO. 043920-0032		SERIAL NO. 09/522,709			
					APPLICANT Fernando L. ALVARADO, et al.					
	(Subs	stitute	for form 1449/PTO)		FILING DATE GROUP March 10, 2000 3693					
			U	.S. PATENT	DOCUMENTS					
EXAMINER'S INITIALS	CITE NO.	Nui	Document Number mber-Kind Codes (FAROMS)	Publication Date MM-DD-YYYY	Name of Patentee or Applic Document	ed Pag Rele	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear			
		US								
		US								
		US								
	<del></del>	US	-							
-		US								
		US								
		US								
		US								
		US			<del> </del>					
-		US								
		US								
		US			ELIT DOGULAÇÃO					
EXAMINER'S		1 50	reign Patent Document	Publication Date	ENT DOCUMENTS  Name of Patentee or	Pages C	olumns, Lines	Tre	nslation	
INITIALS	CITE NO.	Country Codes -Number 4-Kind Codes (if known)		MM-DD-YYYY	Applicant of Cited Document	Where Relevant Figures Appear		Yes No		
		<u> </u>						-	/	
		-						+ -		
	<del> </del>	+								
	h				1					
	1									
					, Title, Date, Pertinent Pages, Et		-4 16 - 16 (1	!		
EXAMINER'S INITIALS	CITE NO.	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city ancfor country where published.								
		United States Notice of Allowance issued in United States Patent Application No. 11/898,136 dated August 14, 2009								
		United States Notice of Allowance issued in United States Patent Application No. 11/898,135 dated August 19, 2009								
		United States Notice of Allowance Issued in United States Patent Application No. 11/898/137 August 26, 2009 United States Notice of Allowance Issued in United States Patent Application No. 10/118,330								
				Allowance issue	September 15, 2009			18,330 date		
			AMINER		4.	DATE CO	NSIDERED			
/Sara Chandler/					11/07/2009					

ICAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

1 Applicant ruling citation designation number (options): 2 Applicant is to place a check mark here if English language Translation is attached.